

2 **Effectiveness of a multidimensional approach for prevention**  
3 **of ventilator-associated pneumonia in 11 adult intensive care units**  
4 **from 10 cities of Turkey: findings of the International Nosocomial**  
5 **Infection Control Consortium (INICC)**

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11 Received: 23 July 2012 / Accepted: 9 January 2013  
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13 **Abstract**

14 *Purpose* To evaluate the impact of the International  
15 Nosocomial Infection Control Consortium (INICC) multi-  
16 dimensional approach on the reduction of ventilator-  
17 associated pneumonia (VAP) in adult patients hospitalized in  
18 11 intensive care units (ICUs), from 10 hospitals, members of  
19 the INICC, in 10 cities of Turkey.

20 *Methods* A prospective active before-after surveillance  
21 study was conducted to determine the effect of the INICC  
22 multidimensional approach in the VAP rate. The study was  
23 divided into two phases. In phase 1, active prospective  
24 surveillance of VAP was conducted using the definitions of  
25 the Centers for Disease Control and Prevention National  
26 Health Safety Network, and the INICC methods. In phase  
27 2, we implemented the multidimensional approach for

VAP. The INICC multidimensional approach included the 28  
following measures: (1) bundle of infection control inter- 29  
ventions, (2) education, (3) outcome surveillance, (4) 30  
process surveillance, (5) feedback of VAP rates, and (6) 31  
performance feedback of infection control practices. We 32  
compared the rates of VAP obtained in each phase. A time 33  
series analysis was performed to assess the impact of our 34  
approach. 35

*Results* In phase 1, we recorded 2,376 mechanical ven- 36  
tilator (MV)-days, and in phase 2, after implementing the 37  
multidimensional approach, we recorded 28,181 MV-days. 38  
The rate of VAP was 31.14 per 1,000 MV-days during 39  
phase 1, and 16.82 per 1,000 MV-days during phase 2, 40  
amounting to a 46 % VAP rate reduction (RR, 0.54; 95 % 41  
CI, 0.42–0.7; *P* value, 0.0001.) 42

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43 **Conclusions** The INICC multidimensional approach was  
 44 associated with a significant reduction in the VAP rate in  
 45 these adult ICUs of Turkey.

47 **Keywords** International Nosocomial Infection Control  
 48 Consortium · Health care acquired infection · Ventilator  
 49 associated pneumonia · Developing countries · Adult  
 50 intensive care unit · Multidimensional approach

## 51 Introduction

52 Ventilator-associated pneumonia (VAP) was reported as  
 53 the primary cause of morbidity and mortality for device-  
 54 associated infections (DAI) in the adult intensive care unit  
 55 (AICU) setting, and has, therefore, been considered the  
 56 most serious healthcare-associated infection (HAI) for  
 57 critically ill patients [1, 2]. Moreover, it has been widely  
 58 shown that VAPs are one of the most common types of  
 59 DAI, leading to substantial increases in ICU length of stay  
 60 (LOS) and healthcare-related costs [1–3].

61 The burden of VAP has not been thoroughly analyzed in  
 62 developing countries [1]. The importance of surveillance  
 63 for measuring AICU patient infection risks, outcomes and  
 64 processes in limited-resource countries is many times  
 65 under-recognized, in spite of the fact that surveillance has  
 66 long been reported a most effective tool for the reduction of  
 67 VAP in the developed world [1, 4].

68 Since 2002, with the aim of contributing to address this  
 69 public health problem also in developing countries, the  
 70 International Nosocomial Infection Control Consortium  
 71 (INICC) has been implementing an outcome and process  
 72 surveillance program for ICUs in limited-resource settings  
 73 [5].

74 The results of the INICC program showed that the rates  
 75 of VAP differed considerably between ICUs from devel-  
 76 oping and developed countries. The rates in limited-  
 77 resource ICUs were from 3 to 5 times higher [6–15].

78 The INICC multidimensional approach for VAP includes  
 79 an infection prevention bundle which is based on practical and  
 80 cost-effective infection control measures that are described in  
 81 the guidelines published by the Society for Health Care Epi-  
 82 demiology of America (SHEA) and the Infectious Diseases  
 83 Society of America (IDSA). These guidelines describe evi-  
 84 dence-based recommendations and interventions for the pre-  
 85 vention of VAP in the ICU setting [16].

86 To date, there are only a few studies that show  
 87 successful interventions for the reduction of VAP, particu-  
 88 larly in developing countries [1]. As a result, a systematic  
 89 approach to address this burden in limited-resource settings  
 90 is essential to serve as a guidance as to what strate-  
 91 gies should be attempted for effectively tackling this  
 92 problem [1].

In different studies conducted in INICC member hos-  
 pitals from developing countries it has been demonstrated  
 that outcome and process surveillance, within the scope of  
 an intervention bundle that includes performance feedback  
 of infection control practices, has successfully reduced  
 DAIs [17–21].

The World Bank classifies economies into low income,  
 middle income, or high income. As of 1 July 2011 low-  
 income economies are those that had average incomes of  
 \$1,005 or less in 2010; lower-middle-income economies  
 had average incomes of \$1,006 to \$3,975; upper-middle-  
 income economies had average incomes of \$3,976 to  
 \$12,275; and high-income had average incomes of \$12,276  
 or more. Low- and middle-income economies are com-  
 monly referred to as developing economies. However, this  
 does not imply that economies in the same income group  
 have reached similar stages of development or that high-  
 income economies have reached a preferred or final stage  
 of development. In this study we included hospitals of  
 Turkey, which is an upper-middle-income economy.

In this study we determine the effects of the imple-  
 mentation of the INICC multidimensional approach for  
 VAP reduction—which includes a bundle of infection  
 control interventions, education, outcome and process  
 surveillance, and feedback of VAP rates and of infection  
 control practices—in the reduction of VAP in 11 AICUs of  
 10 INICC member hospitals in 10 cities of Turkey.

## Methods

### Setting and study design

This before-after, prospective cohort study was carried out  
 in 11 AICUs of 10 INICC member hospitals, in 10 cities of  
 Turkey. The participating hospitals have been actively  
 involved in the INICC surveillance program for a mini-  
 mum of 1 year, with an infection control team (ICT)  
 comprising medical doctors with formal education and  
 solid experience in infectious diseases, internal medicine,  
 and/or hospital epidemiology, and infection control pro-  
 fessionals (ICP).

The study period was 5 years and 4 months, from  
 August 2003 to January 2009, and was divided into  
 2 phases: phase 1 (baseline period, consisting in the first  
 3 months of participation in the INICC program), and  
 phase 2 (intervention period). The Institutional Review  
 Board (IRB) at each hospital approved the study protocol.

### Intervention period

The intervention period started after 3 months of partici-  
 pation in the INICC Surveillance Program. The average

140	length of the intervention period was 28.64 months $\pm$ SD	Investigators were required to perform outcome and	186
141	20.27 (range 6–72).The INICC multidimensional approach	process surveillance by filling in prospective data in spe-	187
142	included the following practices: (1) bundle of infection	cific forms at their ICUs. In turn, these forms were sent	188
143	control interventions, (2) education, (3) outcome surveil-	for their monthly analysis to the INICC office in Buenos	189
144	lance, (4) process surveillance, (5) feedback of VAP rates,	Aires [5].	190
145	and (6) performance feedback of infection control practices.		
146	Bundle components	Outcome surveillance	191
147	Our bundle included the following interventions:	The INICC Surveillance Program is focused on the	192
148	1. Active surveillance for VAP [16];	methods and definitions for DAI developed by the US	193
149	2. Adherence to hand-hygiene guidelines [16];	Centers for Disease Control and Prevention (CDC) for the	194
150	3. Maintenance of patients in a semi recumbent position	National Nosocomial Infection Surveillance System	195
151	(30°–45° elevation of the head of the bed) [22];	(NNIS)/National Health Safety Network (NHSN) program	196
152	4. Performance of daily assessments of readiness to	[25, 26]. However, the INICC methods have taken into	197
153	wean and use of weaning protocols [23];	consideration the different socioeconomic status and spe-	198
154	5. Performance of regular oral care with an antiseptic	cific limitations of limited-resource countries, and were	199
155	solution [24];	adapted for their application in this setting [5]. Outcome	200
156	6. Use of noninvasive ventilation whenever possible	surveillance includes rates VAP per 1,000 device-days;	201
157	and minimization of the duration of ventilation [16];	microorganism profile, bacterial resistance, LOS, and mor-	202
158	7. Preferable use of orotracheal instead to nasotracheal	tality in their ICUs.	203
159	intubation [16];		
160	8. Maintenance of an endotracheal cuff pressure of at	Process surveillance	204
161	least 20 cm H <sub>2</sub> O [16];	Process surveillance is designed to monitor compliance	205
162	9. Removal of the condensate from ventilator circuits	with easily measurable, key infection control measures. It	206
163	[16]; and keeping the ventilator circuit closed during	includes the surveillance of compliance rates for hand	207
164	condensate removal [16];	hygiene (HH) practices and some specific infection control	208
165	10. Change of the ventilator circuit only when visibly	measures for VAP prevention.	209
166	soiled or malfunctioning [16];	HH compliance by healthcare workers (HCWs) is	210
167	11. Avoidance of gastric overdistention [16];	determined by measuring the frequency of HH perfor-	211
168	12. Avoidance of histamine receptor 2 (H <sub>2</sub> )—blocking	mances when clearly indicated, and such practices are	212
169	agents and proton pump inhibitors [16];	monitored by the hospital's ICP during randomly selected	213
170	13. Use of sterile water to rinse reusable respiratory	1-h observation periods, three times a week. Although	214
171	equipment [16].	HCWs know that HH practices are regularly monitored,	215
172	We perform direct observation of HH compliance,	they are not actually aware of the precise moment in which	216
173	duration of ventilation, and ventilation ratio use, using a	observations are taking place [5].	217
174	structured observation tools at regularly scheduled inter-	ICPs were trained to detect HH compliance and record	218
175	vals [5].	HH opportunities and compliance through direct observa-	219
176	Education	The INICC direct observation comprises the “Five	220
177	Education of healthcare personnel involved training and	Moments for Hand Hygiene,” as recommended by the	221
178	sessions on the recommendations and interventions for the	World Health Organization (WHO). The “Five Moments”	222
179	prevention of VAP in the ICU setting as described in the	were designed on the basis of the evidence concerning DAI	223
180	guidelines developed by the SHEA and IDSA [16].	prevention and control, and include the monitoring of the	224
181	INICC methodology	following moments: (1) before patient contact, (2) before	225
182	The INICC Surveillance Program includes two compo-	an aseptic task, (3) after body fluid exposure risk, (4) after	226
183	nents: outcome surveillance (VAP rates and consequences)	patient contact, and (5) after contact with patient sur-	227
184	and process surveillance (adherence to hand hygiene and	roundings [27].	228
185	other basic preventive infection control practices) [5].	Feedback of DA-HAI rates	229
		Upon processing the hospitals' outcome surveillance data	230
		on a monthly basis, the INICC Research Team, at INICC	231

232 Headquarters located in Buenos Aires, prepares and sends  
233 to each ICT a final report on the results of outcome sur-  
234 veillance rates; that is, monthly DA-HAI rates, LOS, bac-  
235 terial profile and resistance, and mortality [5].

236 Feedback of DA-HAI rates is provided to HCWs  
237 working in the AICU by communicating the outcomes of  
238 the patients. The resulting rates are reviewed by the ICT at  
239 monthly meetings, where charts are analyzed, and statisti-  
240 cal graphs and visuals are posted inside the ICU, to provide  
241 an overview of rates of DA-HAIs. This infection control  
242 tool is key to increase awareness about outcomes of  
243 patients at their ICU, enable the ICT and ICU staff to focus  
244 on the necessary issues and apply specific strategies for  
245 improvement of high DA-HAI rates.

#### 246 Performance feedback

247 Upon processing the hospitals' process surveillance data on  
248 a monthly basis, the INICC Research Team, at INICC  
249 Headquarters located in Buenos Aires, prepares and sends  
250 to each ICT a final report on the results of process sur-  
251 veillance rates, including compliance with hand hygiene  
252 and preventive measures [5].

253 Performance feedback is provided to HCWs working in  
254 the AICU by communicating the assessment of practices  
255 routinely performed by them. The resulting rates are  
256 reviewed by the ICT at monthly meetings, where charts are  
257 analyzed, and statistical graphs and visuals are posted  
258 inside the ICU, to provide an overview of rates measuring  
259 compliance with infection control practices. This infection  
260 control tool is key to enable the ICT and ICU staff to focus  
261 on the necessary strategies for improvement of low com-  
262 pliance rates.

#### 263 Training and validation

264 The INICC Chairman trained the principal and secondary  
265 investigators at hospitals on how to perform prospective  
266 surveillance according to the INICC methods [5]. Also,  
267 investigators were provided with training tools that  
268 described how to perform surveillance and complete sur-  
269 veillance forms. Investigators had continuous e-mail and  
270 telephone access to a support team at the INICC Central  
271 Office in Buenos Aires, Argentina, in charge of responding  
272 to all queries within 24 h. The INICC Chairman further  
273 reviewed all queries and responses.

274 Surveillance forms for individual patients allow internal  
275 and external validation, because they include every clinical  
276 and microbiological criterion for each type of DAI, such as  
277 temperature, blood pressure, use of invasive devices, cul-  
278 tures taken, culture results, antibiotic use. Surveillance also  
279 includes a form where positive cultures are registered and  
280 matched with patients' forms.

281 On a monthly basis, participating hospitals submitted  
282 the completed surveillance forms to the INICC Central  
283 Office, where the validity of each case was checked and the  
284 recorded signs and symptoms of infection and the results of  
285 laboratory studies, radiographic studies, and cultures were  
286 scrutinized to assure that the NNIS System criteria for DAI  
287 were fulfilled.

288 The ICT member who reviewed the forms completed at  
289 the participating AICU was able to verify that criteria for  
290 infection had been met accurately in each case. Addition-  
291 ally, the original patient data forms were further validated  
292 at the INICC Central Office, before data on the reported  
293 infection were entered into the INICC's database. To that  
294 end, queries were submitted from INICC office in Buenos  
295 Aires to the ICT teams at each hospital, challenging those  
296 cases with suspected VAP, and data were uploaded after  
297 receiving the reply from hospital teams. Finally, the INICC  
298 team performed consistency analyses of database, such as  
299 age, gender, dates, among other data, and reviews of  
300 medical records that compared data registered in forms and  
301 data in medical records.

#### 302 Definitions

303 We applied CDC NHSN definitions for VAP [26]. VAP is  
304 diagnosed in a mechanically ventilated patient with a chest  
305 radiograph that shows new or progressive infiltrates, con-  
306 solidation, cavitation, or pleural effusion. The patient also  
307 must meet at least one of the following criteria: new onset of  
308 purulent sputum or change in character of sputum, organism  
309 cultured from blood, or isolation of an etiologic agent from  
310 a specimen obtained by tracheal aspirate, bronchial brush-  
311 ing or bronchoalveolar lavage, or biopsy [26].

#### 312 Statistical methods

313 Patients' characteristics during baseline and during the last  
314 3 months of the intervention period in each AICU were  
315 compared using Fisher's exact test for dichotomous vari-  
316 ables and unmatched Student's *t* test for continuous vari-  
317 ables. Ninety-five percent confidence intervals (CI) were  
318 calculated using VCStat (Castiglia). Relative risk (RR)  
319 ratios with 95 % CI were calculated for comparisons of rates  
320 of VAP using EPI Info V6. *P* values <0.05 by two-sided  
321 tests were considered significant. Further, we explored the  
322 change in VAP rates following an ICU joining INICC by  
323 looking at the follow-up period stratified by 3-month periods  
324 over the first year, 6-month periods over the second and third  
325 years of follow up and then yearly (to allow for fewer sub-  
326 jects in ICUs with longer periods of follow up). We calcu-  
327 lated crude stratified rates, and using random effects Poisson  
328 regression to allow for clustering by ICU, we calculated IRR  
329 for each time period compared with the baseline 3 months.



330 Device days were included in the model as an offset with the  
331 coefficient constrained to be zero (patients without MV  
332 during admission were excluded). We performed an addi-  
333 tional regression considering “time since ICU started the  
334 intervention period” as a continuous variable (excluding the  
335 baseline period), and calculated the IRR for reduction in HAI  
336 for each 3-month period of follow up.

## 337 Results

338 During the study period, 4,312 patients, hospitalized for  
339 55,268 days, in 11 AICUs were enrolled in the study, with  
340 a total of 30,557 mechanical ventilator (MV)-days. See  
341 Tables 1 and 2.

342 Regarding patient characteristics, gender, patients with  
343 surgical stay, trauma, abdominal surgery, and with hepatic  
344 failure were similar in both periods. The age mean of  
345 patients was slightly lower during the intervention period.  
346 ASIS score, MV use ratio and MV duration means were  
347 higher during the intervention period. See Table 2.

348 Regarding process surveillance, HH compliance during  
349 intervention was improved by 14 % (from 42 to 47.6 %);  
350 and nebulizer without turbidity was improved by 15 %  
351 (from 45.2 to 52.15 %).

352 Position of the head in semi-recumbent position was  
353 high and similar during both periods. See Table 2.

354 During baseline, the VAP rate was 31.14 VAPs per  
355 1,000 MV-days, and during intervention VAP rate was  
356 16.82 per 1,000 MV days (RR 0.54; 95 % CI 0.42–0.7;  
357  $P$  0.0001). These results showed a 46 % VAP rate reduc-  
358 tion. See Table 2.

359 We calculated the extra LOS and extra mortality of VAP  
360 in the overall period of the study. The average LOS of  
361 patients without infection was 8.2 days, and the mortality  
362 rate was 24.7 %. In patients with VAP, the LOS was  
363 18.9 days (10.7 days of extra LOS) and the mortality was  
364 32.3 % (7.6 % extra mortality).

**Table 1** Characteristics of participating adult intensive care units by type, country and hospital type

Data	AICUs, $n$ (%)	AICU patients, $n$ (%)
Type of AICU		
Medical surgical	10 (91)	3,051 (71)
Medical	1 (9)	1,261 (29)
All AICUs	11 (100)	4,312 (100)
Type of hospital		
Academic teaching	10 (91)	4,259 (99)
Private community	1 (9)	53 (1)
All hospitals	11 (100)	4,312 (100)

AICU adult intensive care unit

In comparison with baseline VAP rates for the 3 months  
before the intervention, VAP rates were 12 % lower  
9 months after the intervention. VAP rates were 33 %  
lower in the second year, 25 % in the third year, 30 % in  
the fourth year and 56 % in the fifth and sixth years  
(Table 3).

Microorganisms profile is shown in Table 4. *Pseudo-*  
*monas*, *Acinetobacter* spp. and *Staphylococcus aureus*  
were the predominant agents during both periods.

Antibiotic resistance is shown in Table 5. The resistance  
rate of *Acinetobacter* spp. to imipenem, ciprofloxacin and  
piperacillin-tazobactam were high during baseline and  
intervention periods. There were no significant differences  
in resistance over the two periods.

## Discussion

The burden of VAP in critically ill patients has been widely  
addressed in the literature worldwide. According to studies  
from developed [28] and developing countries [1, 3], the  
most serious clinical consequences attributable to VAP are  
increased mortality rates [3], significant morbidity [29],  
and increased LOS [3]. From an economic perspective,  
VAP is also responsible for significant increases in  
healthcare costs, as reported in both developed [28] and  
developing countries [3].

Most hospitals in limited-resource countries do not  
implement basic infection control programs, which results  
in a general unawareness of the incidence of VAP at their  
healthcare facilities [1]. In studies conducted in limited-  
resource countries, the rates of VAP have been determined  
to be from 3 to 5 times higher than in the developed  
countries [14, 30–32]. The baseline rate of VAP found in  
this study (31.14 per 1,000 MV-days) was more than ten-  
fold higher than the US 1.8 VAP rate per 1,000 MV-days  
determined by the CDC/NSHN [33], and the 6.8 rate  
determined by KISS [34].

In comparison with VAP rates from other developing  
countries, our VAP baseline rate was similar to the first  
international INICC report published in 2006 (24.1 VAPs  
per 1,000 MV-days) [14], but higher than the second, third,  
and fourth international INICC report published in 2008  
(19.5 VAPs per 1,000 MV-days) [30], 2010 (13.16 VAPs  
per 1,000 MV-days) [31], and 2012 (15.8 VAPs per 1,000  
MV-days) [32]. Within the scope of other studies  
addressing the burden of VAPs in Turkey, the VAP rates  
found in previous studies from Turkey were also similar  
than the baseline VAP rate found in this study; in a mul-  
ticentric study carried out in 12 hospitals in 2007, Leb-  
lebioglu et al. [8] found a global VAP rate of 26.5 VAPs  
per 1,000 MV-days. Similarly, in 2008, Erdem et al. [35]  
found a rate of 22.6 VAPs per 1,000 MV-days.

**Table 2** Patient characteristics, hand hygiene compliance, compliance with bundle to prevent ventilator-associated pneumonia, device use, and ventilator-associated pneumonia rates, in phase 1 (baseline period) and phase 2 (intervention period)

VAP ventilator-associated pneumonia, MV mechanical ventilator, SD standard deviation, ASIS average severity of illness score, RR relative risk, CI confidence interval

<sup>a</sup> Bed-days are the total number of days that patients are in the ICU during the selected time period

<sup>b</sup> MV-days: the total number of days of exposure to mechanical ventilation by all of the patients in the selected population during the selected time period

<sup>c</sup> MV use ratios were calculated by dividing the total number of MV-days by the total number of bed-days

Patients' characteristics	Baseline	Intervention	RR <sup>a</sup>	95 % CI	P value
Study period by hospital in months, mean ± SD (range)	3	28.64 ± 20.27 (6–72)	–	–	–
Number of patients, <i>n</i>	448	3,864	–	–	–
Bed-days, <sup>a</sup> <i>n</i>	4,602	50,666	–	–	–
No. of MV days, <sup>b</sup> <i>n</i>	2,376	2,8181	–	–	–
MV duration, mean ± SD	5.3 ± 10.1	7.3 ± 14.0	–	–	0.003
MV use ratio <sup>c</sup> , mean	0.52	0.56	1.08	1.03–1.12	0.0005
Age in years, mean ± SD	52.37 ± 22.5	49 ± 21.6	–	–	0.001
ASIS score, mean ± SD	3.34 ± 1.0	3.5 ± 0.85	–	–	0.004
Male, <i>n</i> (%)	255 (58)	2,392 (38)	1.06	0.94–1.21	0.343
Female, <i>n</i> (%)	182 (42)	1,459 (62)	–	–	–
Surgical stay, <i>n</i> (%)	51 (11)	353 (9)	0.82	0.61–1.1	0.1723
Abdominal surgery, <i>n</i> (%)	18 (4)	227 (6)	1.46	0.9–2.36	0.12
Trauma, <i>n</i> (%)	65 (15)	594 (15)	1.06	0.82–1.37	0.658
Hepatic failure, <i>n</i> (%)	7 (2)	28 (1)	0.46	0.2–1.06	0.0624
Hand hygiene compliance, % ( <i>n/n</i> )	41.94 (656/1,564)	47.61 (8,257/17,344)	1.14	1.05–1.23	0.002
MV compliance semi-recumbent position of the head (30°–45°), % ( <i>n/n</i> )	90.55 (2,128/2,350)	92 (19,887/21,631)	1.02	0.97–1.06	0.51
MV compliance nebulizer without turbidity, % ( <i>n/n</i> )	45.2 (1,062/2,350)	52.15 (11,280/21,631)	1.15	1.08–1.23	0.0001
VAP, <i>n</i>	74	474	–	–	–
VAP rate per 1,000 MV days <sup>b</sup>	31.14	16.82	0.54	0.42–0.7	0.0001

**Table 3** Ventilator-associated pneumonia rates stratified by length of participation of each intensive care unit in INICC

Months since joining INICC	No. of ICUs	MV days	VAP	VAP rate/1,000 MV days	IRR accounting for clustering by ICU	P value
1–3 months (baseline)	11	2,376	74	31.14	–	1
4–12 months	11	6,639	176	26.51	0.88 (0.665–1.16)	0.361
Second year	8	5,672	89	15.7	0.67 (0.473–0.95)	0.025
Third year	4	5,818	89	15.3	0.75 (0.5–1.13)	0.167
Fourth year	3	7,617	99	13.0	0.7 (0.45–1.06)	0.094
Fifth–sixth years	2	2,435	21	8.62	0.44 (0.232–0.835)	0.012

Poisson regression

INICC International Nosocomial Infection Control Consortium, ICUs intensive care units, VAP ventilator-associated pneumonia, MV mechanical ventilator, IRR incidence-rate ratio

415 The considerable influence that a country's socioeconomic level and hospital type have over DAI in developing  
416 countries has been assessed in two studies. As regards  
417 hospital type, VAP rates in pediatric ICUs from academic  
418 hospitals were higher than those in private or public hos-  
419 pitals: 8.3 versus 3.5 VAPs per 1,000 MV-days [36]. In a  
420 study from neonatal ICU patients, the VAP rates in aca-  
421 demic hospitals were significantly higher than in private or  
422 public hospitals: 13.2 versus 2.4 and 4.9 VAPs per 1,000  
423 MV-days [37]. With regard to the country socioeconomic  
424 level, in a study conducted in pediatric ICUs it was shown  
425 that lower-middle-income countries had higher VAP rates  
426

427 than upper middle-income countries (9.0 vs. 0.5 per 1,000  
428 MV-days) [36].

429 The positive impact of VAP reduction strategies proved  
430 effective a long time ago. In a previous study of INICC, we  
431 included this population of Turkey merged with the pop-  
432 ulation of other 13 countries, but the reason why we have  
433 now reported these data from Turkey separately lies in the  
434 fact that this population has significantly different features  
435 and outcomes than the overall population of the previous  
436 study [38]. In the developed countries, it has been dem-  
437 onstrated that the incidence of VAP can be substantially  
438 prevented and reduced by more than 30 % through basic

**Table 4** Microorganism profile of ventilator-associated pneumonia in adult intensive care units divided into phase 1 and phase 2

Isolated microorganisms	Baseline	Intervention
<i>Pseudomonas</i> spp., % (n)	32 (22)	30 (119)
<i>Acinetobacter</i> spp., % (n)	22 (12)	33 (130)
<i>Staphylococcus aureus</i> , % (n)	20 (14)	13 (51)
<i>Escherichia coli</i> , % (n)	9 (6)	5 (20)
<i>Klebsiella</i> , % (n)	7 (5)	11 (42)
<i>Serratia</i> , % (n)	3 (2)	1 (5)
<i>Candida</i> , % (n)	3 (2)	1 (4)
<i>Enterobacter</i> spp., % (n)	1 (1)	2 (7)
<i>Proteus</i> , % (n)	1 (1)	1 (2)
<i>Enterococcus</i>	1 (1)	0 (0)
<i>Streptococcus</i> , % (n)	0 (0)	1 (5)
<i>Stenotrophomonas</i> spp., % (n)	0 (0)	1 (4)
<i>Coagulase-negative staphylococcus</i> spp., % (n)	0 (0)	1 (3)
<i>Staphylococcus epidermidis</i> , % (n)	0 (0)	1 (2)
Total, % (n)	100 (65)	100 (394)

**Table 5** Antibiotic resistance of the most common ventilator-associated pneumonia related isolated microorganisms in adult intensive care units divided into phase 1 and phase 2

Isolated microorganisms	Baseline	Intervention	P value
<i>Pseudomonas</i> spp.			
Imipenem, resistance, %	43.3	40.6	0.7653
Ceftazidime, resistance, %	64.5	46.5	0.0506
Amikacin, resistance, %	23.5	13.8	0.4494
Piperacillin, resistance, %	35.7	35.3	0.8019
<i>Acinetobacter</i> spp.			
Imipenem, resistance, %	78.3	64.4	0.0609
Ciprofloxacin, resistance, %	96.0	84.8	0.2143
Piperacillin–Tazobactam, resistance, %	84.6	90.8	0.4953
<i>Staphylococcus aureus</i>			
Methicillin resistance, %	80.0	73.2	0.5050

but effective measures, such as hand hygiene compliance, semi-recumbent positioning [39], early removal of endotracheal tubes [40], maintenance of endotracheal cuff pressure and continuous subglottic suctioning [41]. Similarly, it was shown in studies performed by INICC that implementation of a multi-dimensional approach for VAP—which includes a bundle of interventions, education, outcome and process surveillance, feedback of VAP rates, and performance feedback—resulted in significant reductions in rates of VAP in Argentina (51.28 vs. 35.50 VAPs per 1,000 MV-days) [17], China, amounting to a 79 % cumulative VAP rate reduction during the 3-year study

period [42], and in the pooled VAP rates of pediatric ICUs (31 % VAP rate reduction), [43] neonatal ICUs (33 % VAP rate reduction) [44] and adult ICUs (55.83 % VAP rate reduction) [38] of limited-resource countries.

The INICC multidimensional approach for VAP included the following elements. First, the implementation of an infection prevention bundle based on the guidelines published by the SHEA and the IDSA [16], which provide evidence-based recommendations and cost-effective infection control measures, which can be feasibly adapted to the ICU setting in developing countries. Second, education of HCWs about infection preventive measures. Third, VAP outcome surveillance by applying the definitions for DAI developed by the US CDC/NHSN [25, 26]. Fourth, VAP process surveillance to monitor compliance with easily measurable infection control measures, including HH performance. Fifth, feedback of VAP rates. Sixth, performance feedback of process surveillance, particularly, by reviewing and discussing charts results at monthly infection control meetings.

During the study period, the high VAP rate at baseline was reduced from 31.14 to 16.82 per 1,000 MV days (RR 0.54; 95 % CI 0.42–0.7;  $P$  0.0001), showing a 46 % VAP rate reduction. In comparison with baseline VAP rates for the 3 months before the intervention, VAP rates were 12 % lower 9 months after the intervention. These VAP rates were further decreased by 33 % in the second year, 25 % in the third year, 30 % in the fourth year and 56 % in the fifth and sixth years.

In our study, some patients' characteristics, such as gender, patients with surgical stay, trauma, abdominal surgery, and with hepatic failure, showed similar patient intrinsic risk in both study periods. As regards the age mean of patients, it was slightly lower during the intervention period. By contrast, ASIS score, device use ratio and MV mean duration were higher during the intervention period, meaning that the patient intrinsic risk was higher in phase 2.

After the implementation of the INICC multidimensional approach, we found an improvement in process surveillance rates, with HH compliance having being improved by 14 % (from 42 to 47.6 %). Also, within our bundle elements, nebulizer without turbidity was improved by 15 % (from 45.2 to 52.15 %), and position of the head in semi-recumbent position remained high and similar during the whole study period. According to the literature, HH, lack of turbidity of nebulizer and semi-recumbent position of the head are some of the key elements to reduce the risk of VAP [45].

Regarding the microorganisms profile, we identified a predominance of *Pseudomonas*, *Acinetobacter* spp. and *S. aureus* during both periods. According to the scientific literature from Turkey, the predominant agents for VAP

were *Acinetobacter* spp., methicillin-resistant *S. aureus*, and *P. aeruginosa* [35]. The resistance rate to antibiotics did not change during the study. The *Acinetobacter* spp. resistance to Imipenem, Ciprofloxacin and piperacillin-tazobactam was high during the baseline and intervention periods (Table 5). A recent study by Guner et al. [46] showed that treatment with tigecycline is sometimes used effectively to treat multi-drug resistant *A. baumannii*; since we do not have information regarding tigecycline use in our study, we are unable to compare these results with ours. Nevertheless, we consider this an important issue for future research.

## 516 Study limitations

517 Limitations of this study lie on the fact that our findings are  
518 not to be generalized to all AICU patients from Turkey;  
519 however, this study proved that a multidimensional  
520 approach is fundamental to understand and fight against the  
521 adverse effects of VAP in the AICU setting of Turkey.  
522 Second, the setting of 3-month baseline period may be  
523 short and might have overestimated the effect of the  
524 intervention; however, this duration of baseline period is  
525 common in the scientific literature. Finally, we could not  
526 quantify in detail information for each AICU on the  
527 compliance of each bundle component, and other non-  
528 quantifiable interventions included in our multidimensional  
529 approach, such as education and training.

## 530 Conclusions

531 This study is among the first scarce studies that have  
532 reported a substantial reduction in VAP rates in the AICU  
533 setting, proving this kind of infection control approach  
534 successful [1]. Despite higher patient intrinsic risk char-  
535 acteristics during phase 2, ICP at the INICC AICU setting  
536 were able to obtain successful prevention of VAP. Good  
537 as it is, it is worth highlighting that the reduction in VAP  
538 rates does not derive from surveillance itself. This sys-  
539 tematically collected data should serve to guide healthcare  
540 professionals in their strategies for improvement of patient  
541 care practices, such as performance feedback [17, 18].  
542 Therefore, it is essential to support educational efforts with  
543 regular feedback in the form of monthly incidence rates of  
544 VAPs to derive substantial benefit from preventive strate-  
545 gies [17, 18, 20, 47, 48].

546 We expect that these preventive strategies, proven  
547 effective in the INICC AICUs of Turkey by means of the  
548 implementation of the multidimensional approach for VAP  
549 prevention, results in a wider acceptance of infection  
550 control programs in hospitals worldwide, thus leading to

551 significant VAP reductions. Through the INICC network,  
552 investigators are freely furnished with training and meth-  
553 odological tools to perform outcome and process surveil-  
554 lance, and to implement an effective infection prevention  
555 model for VAPs, and at the same time, the publication of  
556 these findings serves to foster relevant scientific evidence-  
557 based literature. For this reason, every hospital is invited to  
558 participate in the INICC project, which was set up  
559 to respond to the compelling need in the developing world  
560 to significantly prevent, control and reduce VAPs and their  
561 adverse effects.

**Acknowledgments** The authors thank the many health care pro-  
562 fessionals at each member hospital who assisted with the conduct of  
563 surveillance in their hospital, including the surveillance nurses, clinical  
564 microbiology laboratory personnel, and the physicians and nurses  
565 providing care for the patients during the study; without their coop-  
566 eration and generous assistance this INICC would not be possible;  
567 Mariano Vilar, Débora López Burgardt, Santiago Suarez, Cecilia  
568 Cappelini, Denise Brito, Eugenia Manfredi, Luciana Soken, Dario  
569 Pizzuto, Yuan Ding, Katie Saunders, and Isaac Kelmesses who work  
570 at INICC headquarters in Buenos Aires, for their hard work and  
571 commitment to achieve INICC goals; the INICC country coordinators  
572 (Altaf Ahmed, Carlos A. Álvarez Moreno, Anucha Apisarnthanarak,  
573 Luis E. Cuéllar, Bijie Hu, Hakan Leblebicioglu, Eduardo A. Medeiros,  
574 Yatin Mehta, Lul Raka, Namita Jaggi, and Toshihiro Mitsuda);  
575 the INICC Advisory Board (Carla J. Alvarado, Gary L. French,  
576 Nicholas Graves, William R. Jarvis, Patricia Lynch, Dennis Maki,  
577 Russell N. Olmsted, Didier Pittet, Wing Hong Seto, Syed Sattar, and  
578 William Rutala), who have so generously supported this unique  
579 international infection control network; and specially to Patricia  
580 Lynch, who inspired and supported us to follow our dreams despite  
581 obstacles. The funding for the activities carried out at INICC head  
582 quarters were provided by the corresponding author, Victor D.  
583 Rosenthal, and Foundation to Fight against Nosocomial Infections.  
584

**Conflict of interest** All authors report no conflict of interest related  
585 to this article. Every hospital's institutional review board agreed to  
586 the study protocol, and patient confidentiality was protected by cod-  
587 ifying the recorded information, making it only identifiable to the  
588 ICT. All authors submitted the ICMJE Form for Disclosure of  
589 Potential Conflict of Interest, and the conflict that the editors consider  
590 relevant to this article are disclosed here.  
591

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